

07-16 JC18 Rec'd PCT/PTO 12 JUL 2001

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

FORM PTO-1300
(REV. 11-2000)

ATTORNEY'S DOCKET NUMBER

06-01US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/889368

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371INTERNATIONAL APPLICATION NO.
PCT/DE00/00065INTERNATIONAL FILING DATE
1/7/2000PRIORITY DATE CLAIMED
1/12/1999

TITLE OF INVENTION

MALATYL POLYSACCHARIDES, THEIR PRODUCTION AND THEIR USE

APPLICANT(S) FOR DO/EO/US

Waldemar Lazik

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 USC 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 USC 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 USC 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 USC 371(c)(4))

The declaration is a true and exact copy of the original.
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
14. A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. A substitute specification.
16. A change of power of attorney and/or address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1.821 - 1.825.
18. A second copy of the published international application under 35 USC 154(d)(4).
19. A second copy of the English language translation of the international application under 35 USC 154(d)(4).
20. Other items or information:
Application Data Sheet

"Express Mail" Label # EF 419 679 020 US - I hereby certify that this paper or file is being deposited with the USPS "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on 07/12/2001, and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. *Gudrun E. Huckett*

Gudrun E. Huckett, Patent Agent

U.S. APPLICATION NO. (if known, see 37 CFR 1.492(e))
09/889368INTERNATIONAL APPLICATION NO.
PCT/DE00/00065ATTORNEY'S DOCKET NUMBER
06-01US21 The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1000.00**International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$860.00**International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00****ENTER APPROPRIATE BASIC FEE AMOUNT =****\$ 860.00**Surcharge of **\$130.00** for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)) **\$**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	10 - 20 =	0	x \$18.00	\$
Independent claims	3 - 3 =	0	x \$80.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	0		+ \$270.00	\$

TOTAL OF ABOVE CALCULATIONS = **\$ 860.00**

Applicant claims small entity status (See 37 CFR 1.27) The fees indicated above are reduced by 1/2 **\$ 430.00**

SUBTOTAL = **\$ 430.00**Processing fee of **\$130.00** for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)) **\$****TOTAL NATIONAL FEE =** **\$ 430.00**Fee for recording the enclosed assignment (37 CFR 1.21(h)) The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **\$40.00** per property **+** **\$****TOTAL FEES ENCLOSED =** **\$ 430.00****Amount to be refunded:** **\$****charged:** **\$**a. A check in the amount of **\$ 430.00** to cover the above fees is enclosed. (check # 1245)b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees! A duplicate copy of this sheet is enclosed!c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1199. A duplicate copy of this sheet is enclosed!d. Fees are to be charged to a credit card! **WARNING: Information on this form may become public! Credit card information should not be included on this form! Provide credit card information and authorization on PTO-2038!****NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status!**

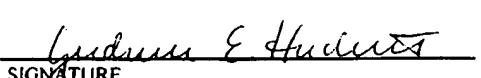
SEND ALL CORRESPONDENCE TO:

Gudrun E. Huckett, Patent Agent
P.O. Box 3187
Albuquerque, NM 87190-3187*Gudrun E. Huckett*
SIGNATUREGudrun E. Huckett
NAME

35,747

REGISTRATION NUMBER

12 July 2001

U.S. APPLICATION NO. (if known, see 37 CFR 1.14)	INTERNATIONAL APPLICATION NO. PCT/DE00/00065	ATTORNEY'S DOCKET NUMBER 06-01US		
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY		
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO		\$100.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO		\$860.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO		\$710.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)		\$690.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)		\$100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 860.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e))		\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	10 - 20 =	0	x \$18.00	\$
Independent claims	3 - 3 =	0	x \$80.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		0	+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS =		\$ 860.00		
<input checked="" type="checkbox"/> Applicant claims small entity status (See 37 CFR 1.27) The fees indicated above are reduced by 1/2		\$ 430.00		
SUBTOTAL =		\$ 430.00		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))		\$		
TOTAL NATIONAL FEE =		\$ 430.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)) (The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31)) \$40.00 per property		\$		
TOTAL FEES ENCLOSED =		\$ 430.00		
		Amount to be refunded:	\$	
		charged:	\$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ 430.00 to cover the above fees is enclosed. (check # 1245)				
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees! A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1199. A duplicate copy of this sheet is enclosed!				
d. <input type="checkbox"/> Fees are to be charged to a credit card! WARNING: Information on this form may become public! Credit card information should not be included on this form! Provide credit card information and authorization on PTO-2038!				
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SEND ALL CORRESPONDENCE TO:				
Gudrun E. Huckett, Patent Agent P.O. Box 3187 Albuquerque, NM 87190-3187				
 SIGNATURE Gudrun E. Huckett NAME 35,747				
REGISTRATION NUMBER				
12 July 2001				